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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/083,232	02/26/2002	Robert J. McKee	PRCR18521	4741

7590

03/26/2004

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Dallas, TX 75201

EXAMINER

MCCOY, KIMYA N

ART UNIT PAPER NUMBER

3745

DATE MAILED: 03/26/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No.

10/083,232

Applicant(s)

MCKEE ET AL

Examiner

Kimya N McCoy

Art Unit

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☐ Responsive to communication(s) filed on \_\_\_\_.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-53 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-53 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 26 February 2002 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date 3.
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_.

**DETAILED ACTION**

***Specification***

The disclosure is objected to because of the following informalities:

On page 4, line 6 "and" should be --an--.

Appropriate correction is required.

***Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1, 3, 4, 7, 10, 12 -15, 17, 18, 21, 25-28, 30, 31, 33, 36, 37, 39-41, 43, 44, 46, and 49-53 are rejected under 35 U.S.C. 102(b) as being anticipated by Harada et al. (5,913,248). Harada et al. teach an apparatus and method of detecting the occurrence of surge or incipient surge in a compressor, the compressor having a casing 1, an inlet passage, an inlet passage wall, a recirculation zone in the inlet passage, inlet guide vanes 9, an impeller 3, and a sensor (col.6, line 52) located proximate to the impeller and the inlet passage wall; said compressor is operated so that a fluid flow is established in the inlet passage and is controlled through the compressor at least by altering the inlet guide vanes 9 (col. 6, line 18+) such that characteristics of the fluid flow in the inlet passage is measured proximate to the passage wall and proximate to the impeller; wherein measuring the fluid flow includes measuring a tangential component

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(col. 12, line 63) to the fluid flow, measuring a substantial decrease (col. 2, line 35) of the flow, and using a fluid velocity sensor to measure the fluid flow (col. 6, line 51).

***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 2, 5, 6, 9, 11, 16, 19, 20, 23, 24, 29, 32, 35, 38, 42, 45, 47, 48 and 52 are rejected under 35 U.S.C. 103(a) as being unpatentable over Harada et al. ('248) in view of Gaston (4,594,051). Harada et al. teach the subject matter as stated above but do not teach the measuring of the fluid flow including detecting a reversal, measuring the fluid flow temperature, or measuring the changes in the fluid flow temperature.

Gaston teaches a method for detecting surge in a compressor including the detection of a reversal of the fluid flow (col. 1, line 24), and having a temperature sensor (col. 1, line 54) located near the inlet that sensing a sudden temperature rise and therefore a change in the temperature. Since both Harada et al. and Gaston teach a compressor for detecting surge wherein there is a sensor located in the inlet portion of the compressor, it would have been obvious at the time the invention was made to one having ordinary skill in the art to include the detection of reversal and the measurement of temperature and temperature change while measuring the fluid flow of Harada et al. as taught in Gaston for the purpose of measuring every fluid flow characteristic possible for the sake of preventing surge.

Claims 8, 22, and 34 are rejected under 35 U.S.C. 103(a) as being unpatentable over Harada et al. ('248) in view of Gunn et al. (5,224,836). Harada et al. teach the subject matter as stated above but do not teach controlling the fluid flow includes increasing the fluid flow to the inlet passage.

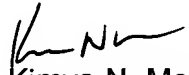
Gunn et al. teach in column 5, line 55 a controller capable of operating a compressor having nine different modes in which one of the modes includes increasing the compressor speed; increasing the compressor speed will increase the fluid flow to the inlet passage; wherein surge is detected. Since both Harada et al. and Gunn et al. teach compressors having a method of detecting surge, it would have been obvious at the time the invention was made to one having ordinary skill in the art to add a method of increasing the fluid flow to the compressor of Harada et al. as taught by Gunn et al. for the purpose of maintaining a rate of flow so as to avoid surge.

#### **Contact Information**


Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kimya N McCoy whose telephone number is (703) 305-0863. The examiner can normally be reached on Monday-Thursday 7:30 AM-6:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Edward K Look can be reached on (703) 308-1044. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

  
Kimya N. McCoy  
Patent Examiner  
Art Unit 3745

KNM  
March 20, 2004

  
EDWARD K. LOOK  
SUPERVISORY PATENT EXAMINER  
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3/22/04